

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

PAUL LOUTZENHISER,

Plaintiff,

v.

R. GROUNDS, et al.,

Defendants.

No. C-11-2925 TEH (PR)

ORDER GRANTING IN PART AND
DENYING IN PART DEFENDANTS'
MOTION TO DISMISS AND FOR
SUMMARY JUDGMENT; STAYING
ACTION AND REFERRING FOR
SETTLEMENT PROCEEDINGS;
DIRECTIONS TO CLERK

Doc. nos. 39, 45

I

Plaintiff, an inmate at the Correctional Training Facility in Soledad, California (CTF), filed a pro se civil rights action under 42 U.S.C. § 1983, and the Americans with Disabilities Act of 1990, 42 U.S.C. § 12101 et seq. (ADA). The operative pleading is Plaintiff's Second Amended Complaint (SAC), in which Plaintiff alleges that Defendants cancelled his prescription for a cane and failed to timely provide him with new, appropriately sized, orthopedic footwear. The Court screened the SAC on October 4, 2013 and determined that it stated: (1) an Eighth Amendment claim against

1 CTF Dr. Bright (Dr. Bright) and CTF R.N. Fox (Nurse Fox); (2) an ADA
2 claim against the California Department of Corrections and
3 Rehabilitation (CDCR) and CTF-Medical; and (3) a supplemental state-
4 law breach of contract claim against the CDCR, Dr. Bright, and Nurse
5 Fox for breach of the settlement agreement reached in Loutzenhiser
6 v. Traquina, Eastern District of California case number S-04-1937
7 LKK PAN.

8 Now before the Court is Defendants' combined motion to
9 dismiss and motion for summary judgment. Plaintiff has filed an
10 opposition¹, and Defendants have filed a reply.

11 II

12 The following facts are undisputed unless otherwise noted:

13 A

14 In 1971, Plaintiff was involved in a motorcycle accident
15 that left his lower right leg permanently and severely disfigured
16 and approximately 1 to 1.5 inches shorter than his left leg. SAC at
17 4. This disability causes Plaintiff severe lower back, hip, and leg
18 pain unless he wears an orthopedic shoe. Id. During Plaintiff's
19 time in CDCR custody, his disability has been accommodated both by
20 extra-wide shoes with a right sole lift, and by use of orthopedic
21 shoes and inserts. Bright Decl. ¶ 11. Plaintiff also has
22 osteoarthritis of the knees. Id.

23 Plaintiff had a successful total knee replacement while in
24 CDCR custody in November 2008. Bright Decl. ¶ 12. Records of
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26 ¹ Good cause appearing, Plaintiff's motion for leave to file an
27 opposition in excess of the Court's 25-page limit (dkt. no. 45) is
28 GRANTED.

1 Plaintiff's physical therapy treatments reflect that in January,
2 2009 he was "doing well," and was observed to have a "non-antalgic
3 gait" and mobility "without assist." Fox Decl. ¶ 5; Ganahl Decl.
4 Ex. B at 4. In February 2009 he could "work out hard," including
5 doing squats and steps; in March 2009 he was "doing lunges"; and in
6 May 2009 he could walk two to three miles every day. Fox Decl. ¶ 5;
7 Ganahl Decl. Ex. B at 5. Plaintiff's medical records reflect that
8 his replaced knee functions well. Fox Decl. ¶ 5; Bright Decl. ¶ 12.

9 In August 2010, Plaintiff sought to be moved from the
10 first housing tier to the second, which requires traversing up and
11 down a flight of stairs in order to access programs, services, and
12 activities occurring throughout the day. Fox Decl. ¶ 6.

13 Plaintiff's primary care physician, Dr. Friederichs, approved that
14 request. Id.; Ganahl Decl. Ex. D, Dkt. 39-5, at 19.

15 In 2010, while housed at CTF, Plaintiff received new
16 orthotic shoes that were too narrow and too long. SAC at 5. On
17 January 4, 2011, Plaintiff submitted a Health Care Appeal,
18 identified by log number CTF-S-11-00064, seeking new orthopedic
19 shoes. Dkt. 22-2 Ex. B at 6. Because the request sought a
20 disability accommodation, it was processed as an ADA request.
21 Bright Decl. ¶ 13. Defendant Nurse Fox, the responding ADA nurse
22 for that appeal, scheduled Plaintiff to be examined by Defendant Dr.
23 Bright and provided Dr. Bright with Plaintiff's relevant medical
24 documentation to review for purposes of that examination. Fox.
25 Decl. ¶¶ 7-8.

26 On January 28, 2011, Dr. Bright, then Chief Physician and
27 Surgeon at CTF and head of CTF's ADA program and clinic, interviewed
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1 and evaluated Plaintiff in response to his appeal seeking new
2 orthopedic shoes. Bright Decl. ¶¶ 1, 14; Ganahl Decl. Ex. D, Dkt.
3 39-6 at 8-9. Nurse Fox was present during that evaluation. Fox
4 Decl. ¶ 8. Plaintiff arrived to the evaluation at the CTF ADA clinic
5 from his housing unit, a distance of nearly 350 yards, without his
6 cane; his mobility at that time was observed to be brisk, with no
7 discernible limp or impairment of any kind. Fox Decl. ¶ 9; Bright
8 Decl. ¶ 19; Ganahl Decl. Ex. D, Dkt. 39-6, at 2-3.

9 During the evaluation, Dr. Bright determined that the
10 orthopedic shoes Plaintiff possessed at the time were serviceable.
11 Bright Decl. ¶ 16. Although Plaintiff's orthopedic shoes were worn
12 and too small, they were sufficient for him to maintain mobility.
13 Id. Nevertheless, because new, better-fitting orthopedic shoes
14 would be useful to Plaintiff, Dr. Bright referred Plaintiff to the
15 CTF orthotics clinic for new orthopedic shoes with a shoe lift.
16 Id.; Ganahl Decl. Ex. D, Dkt. 39-6, at 2-3. He additionally
17 recommended that Plaintiff be provided with orthopedic shoes with a
18 more flexible sole, as the current pair had a stiff sole which was
19 less conducive to Plaintiff's ambulation. Id.

20 In the course of the January 28, 2011 evaluation, Dr.
21 Bright also reviewed Plaintiff's other disability needs, including a
22 prior prescription for a cane. Bright Decl. ¶ 19. Dr. Bright's
23 examination revealed that Plaintiff had a normal gait and cadence,
24 and no pain or tenderness with his range of motion. Id. He also
25 found that Plaintiff was sufficiently mobile to be housed on the
26 second tier, to ambulate significant distances that include stairs,
27 hills, and uneven terrain without difficulty, and to maintain
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1 unimpeded access to the programs, services, and activities for which
2 he is eligible. Id. Based on these findings and the fact that
3 canes are not useful for Plaintiff's particular condition, Dr.
4 Bright determined that Plaintiff did not qualify for use of a cane.
5 Id. at ¶ 20.

6 Dr. Bright then completed a Disability Placement Program
7 Verification for Plaintiff, noting that Plaintiff maintains
8 sufficient mobility without a cane and may be housed on a second
9 tier. Bright Decl. ¶ 22. Dr. Sepulveda, the Chief Medical
10 Executive at CTF, signed that form on January 31, 2011, indicating
11 his review and approval of the findings. Id.; Ganahl Decl. Ex. D,
12 Dkt. 39-6, at 8. Dr. Bright also completed a Physician Request for
13 Services requesting that Plaintiff be scheduled for an orthotics
14 consultation, and a Comprehensive Accommodation Chrono documenting
15 that Plaintiff was entitled to permanent access to orthopedic shoes,
16 but that his prescription for access to a cane was discontinued.
17 Bright Decl. ¶¶ 18, 23; Ganahl Decl. Ex. D, Dkt. 39-6, at 6, 10.
18 Dr. Sepulveda approved and signed the Comprehensive Accommodation
19 Chrono. Ganahl Decl. Ex. D, Dkt. 39-6, at 10. Plaintiff
20 surrendered his cane to Nurse Fox on January 28, 2011. Fox Decl.
21 ¶ 13.

22 On January 31, 2011, Plaintiff submitted a Health Care
23 Services Request Form requesting that his right to a cane be
24 reinstated. Bright Decl. ¶ 25; Ganahl Decl. Ex. D, Dkt. 39-6, at
25 11. Plaintiff was evaluated by Dr. Friederichs, his primary care
26 physician, on February 7, 2011, and Dr. Friederichs did not conclude
27 that Plaintiff's condition warranted the use of a cane. Bright
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1 Decl. ¶ 26; Ganahl Decl. Ex. D, Dkt. 39-6, at 12-13.

2 On February 28, 2011, Dr. Sepulveda provided a
3 second-level response to Plaintiff's appeal number CTF-S-11-00064,
4 affirming Dr. Bright's determination that Plaintiff did not require
5 a cane, but would be referred to an orthotics provider for new
6 orthopedic shoes, and stating that Plaintiff could obtain and use
7 state-issue extra-wide shoes along with his insoles, which would
8 provide reasonable accommodation if his orthopedic shoes became
9 unserviceable before he was able to obtain new ones. Fox Decl.
10 ¶ 15; Dkt. 22-2 Ex. B at 16-18.

11 Dr. Friederichs again evaluated Plaintiff on April 25,
12 2011, July 8, 2011, and November 7, 2011. Bright Decl. ¶ 29; Ganahl
13 Decl. Ex. D, Dkt. 39-7, at 2-3, 6-7, 10-11. The November 7, 2011
14 Medical Progress Note states that Plaintiff was "managing fairly
15 well" without a cane. Bright Decl. ¶ 29; Ganahl Decl. Ex. D, Dkt.
16 39-7, at 10-11. It also notes that Plaintiff had not yet been seen
17 by an orthopedic specialist to replace his orthopedic shoes, but
18 does not indicate that Plaintiff's orthopedic shoes, at that time,
19 were unserviceable or did not provide sufficient accommodation to
20 Plaintiff. Ganahl Decl. Ex. D, Dkt. 39-7, at 10-11. In a November
21 7, 2011 Physician Request for Services, Dr. Friederichs renewed the
22 request that Plaintiff be scheduled for a routine orthotics
23 consultation. Id. at 9.

24 On December 9, 2011, Plaintiff signed a document stating
25 he had been fitted for new orthopedic shoes and a lift by Sunrise's
26 Orthotics/Prothetics. Ganahl Decl. Ex. D, Dkt. 39-7, at 14.
27 Plaintiff's January 25, 2012 signature on that document verifies he
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1 received the orthopedic shoes and lift and was satisfied that the
2 fit was correct. Id.

3 B

4 In 2004, Plaintiff filed a lawsuit against the CDCR and
5 California State Prison - Solano, where he was formerly housed,
6 alleging that the defendants in that case were deliberately
7 indifferent to his medical need for orthopedic boots. Loutzenhiser
8 v. Traquina, Eastern District case number S-04-1937 LKK PAN. Defs.'
9 RJN Supp. Mot. Summ. J., Ex. B.² The case was settled out of court,
10 and the CDCR agreed to provide Plaintiff with "a second pair of
11 prescribed orthopedic shoes and a chrono for such shoes, which shall
12 be effective indefinitely." Id. Ex. C. Defendants Bright and Fox
13 were not parties to that case or to the settlement agreement. See
14 id.

15 III

16 A

17 Failure to state a claim is a ground for dismissal under
18 Rule 12(b)(6) of the Federal Rules of Civil Procedure. Dismissal
19 for failure to state a claim is a ruling on a question of law.
20 Parks School of Business, Inc. v. Symington, 51 F.3d 1480, 1483 (9th
21 Cir. 1995). "The issue is not whether the plaintiff ultimately will
22 prevail, but whether he is entitled to offer evidence to support his
23 claim." Usher v. City of Los Angeles, 828 F.2d 556, 561 (9th Cir.
24 1987).

25 _____
26 ² Good cause appearing, and no objections having been filed
27 thereto, Defendants' Request for Judicial Notice of documents filed
28 in Loutzenhiser v. Traquina (dkt. no. 40) is GRANTED. See Fed R.
Evid. 201(b).

1 Federal Rule of Civil Procedure 8(a)(2) requires only "a
2 short and plain statement of the claim showing that the pleader is
3 entitled to relief." "Specific facts are not necessary; the
4 statement need only give the defendant fair notice of what the . . .
5 claim is and the grounds upon which it rests." Erickson v. Pardus,
6 551 U.S. 89, 93 (2007) (citations and internal quotation marks
7 omitted). Although in order to state a claim a complaint "does not
8 need detailed factual allegations, a plaintiff's obligation to
9 provide the 'grounds' of his 'entitle[ment] to relief' requires more
10 than labels and conclusions, and a formulaic recitation of the
11 elements of a cause of action will not do. . . . Factual
12 allegations must be enough to raise a right to relief above the
13 speculative level." Bell Atlantic Corp. v. Twombly, 550 U.S. 544,
14 555 (2007) (alterations in original) (citations omitted). A motion
15 to dismiss should be granted if the complaint does not proffer
16 "enough facts to state a claim to relief that is plausible on its
17 face." Id. at 570; see, e.g., Ashcroft v. Iqbal, 556 U.S. 662, 683
18 (2009).

19 B

20 Summary judgment is properly granted when no genuine
21 disputes of material fact remain and when, viewing the evidence most
22 favorably to the nonmoving party, the movant is clearly entitled to
23 prevail as a matter of law. Fed. R. Civ. P. 56(c); Celotex
24 v. Catrett, 477 U.S. 317, 322-23 (1986); Eisenberg v. Ins. Co. of N.
25 Am., 815 F.2d 1285, 1288-89 (9th Cir. 1987). The moving party bears
26 the burden of showing there is no material factual dispute.
27 Celotex, 477 U.S. at 331. Therefore, the Court must regard as true
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1 the opposing party's evidence, if supported by affidavits or other
2 evidentiary material. Id. at 324; Eisenberg, 815 F.2d at 1289. The
3 court must draw all reasonable inferences in favor of the party
4 against whom summary judgment is sought. Matsushita Elec. Indus.
5 Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986); Intel Corp. v.
6 Hartford Accident & Indem. Co., 952 F.2d 1551, 1559 (9th Cir. 1991).

7 The moving party bears the initial burden of identifying
8 those portions of the pleadings, discovery and affidavits which
9 demonstrate the absence of a genuine issue of material fact.
10 Celotex, 477 U.S. at 323. If the moving party meets its burden of
11 production, the burden then shifts to the opposing party to produce
12 "specific evidence, through affidavits or admissible discovery
13 material, to show that the dispute exists." Bhan v. NME Hosps.,
14 Inc., 929 F.2d 1404, 1409 (9th Cir 1991), cert. denied, 502 U.S. 994
15 (1991); Nissan Fire & Marine Ins. Co. v. Fritz Companies, Inc., 210
16 F.3d 1099, 1105 (9th Cir. 2000).

17 Material facts which would preclude entry of summary
18 judgment are those which, under applicable substantive law, may
19 affect the outcome of the case. The substantive law will identify
20 which facts are material. Anderson v. Liberty Lobby, Inc., 477 U.S.
21 242, 248 (1986). Questions of fact regarding immaterial issues
22 cannot defeat a motion for summary judgment. Reynolds v. County of
23 San Diego, 84 F.3d 1162, 1168-70 (9th Cir. 1996), rev'd on other
24 grounds by Acri v. Varian Associates, Inc., 114 F.3d 999 (9th Cir.
25 1997). A dispute as to a material fact is genuine if there is
26 sufficient evidence for a reasonable jury to return a verdict for
27 the nonmoving party. Anderson, 477 U.S. at 248.

1 A district court may only consider admissible evidence in
2 ruling on a motion for summary judgment. See Fed. R. Civ. P. 56(e);
3 Orr v. Bank of America, 285 F.3d 764, 773 (9th Cir. 2002). Here,
4 Plaintiff has verified his SAC and his declaration in opposition to
5 Defendants' motion for summary judgment by signing both documents
6 under penalty of perjury, and, for purposes of the instant order,
7 the Court construes those documents as affidavits in opposition to
8 Defendants' motion. See Schroeder v. McDonald, 55 F.3d 454, 460 &
9 n.10 (9th Cir. 1995) (finding complaint signed under penalty of
10 perjury constituted admissible evidence).

11 IV

12 A

13 Defendants Dr. Bright and Nurse Fox argue they are
14 entitled to summary judgment on Plaintiff's Eighth Amendment claim
15 for deliberate indifference to medical needs on the ground that
16 there are no material facts in dispute. Defendants add that,
17 assuming their actions are found to be unconstitutional, it would
18 not have been clear to a reasonable official that such conduct was
19 unlawful and that therefore they are entitled to qualified
20 immunity.³

21 Deliberate indifference to a serious medical need violates
22 the Eighth Amendment's proscription against cruel and unusual
23 punishment. See Estelle v. Gamble, 429 U.S. 97, 104 (1976);
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25 ³ As set forth below, the Court finds that Defendants are
26 entitled to summary judgment on the merits of Plaintiff's Eighth
27 Amendment claims, thereby obviating the need to address their argument
28 that they are shielded from liability on the theory of qualified
immunity.

1 McGuckin v. Smith, 974 F.2d 1050, 1059 (9th Cir. 1992), overruled on
2 other grounds by WMX Technologies, Inc. v. Miller, 104 F.3d 1133,
3 1136 (9th Cir. 1997) (en banc). A determination of "deliberate
4 indifference" involves an examination of two elements: the
5 seriousness of the prisoner's medical need and the nature of the
6 defendant's response to that need. See McGuckin, 974 F.2d at 1059.

7 "A 'serious' medical need exists if the failure to treat a
8 prisoner's condition could result in further significant injury or
9 the 'unnecessary and wanton infliction of pain.'" McGuckin, 974
10 F.2d at 1059 (citing Estelle, 429 U.S. at 104). The "existence of
11 chronic and substantial pain [is an] . . . indication[] that a
12 prisoner has a 'serious' need for medical treatment." Id. at 1060.
13 A prison official is deliberately indifferent if he knows that a
14 prisoner faces a substantial risk of serious harm and disregards
15 that risk by failing to take reasonable steps to abate it. Farmer
16 v. Brennan, 511 U.S. 825, 837 (1994). The prison official must not
17 only "be aware of facts from which the inference could be drawn that
18 a substantial risk of serious harm exists," but he "must also draw
19 the inference." Id. If a prison official should have been aware of
20 the risk but was not, then the official has not violated the Eighth
21 Amendment, no matter how severe the risk. Gibson v. County of
22 Washoe, 290 F.3d 1175, 1188 (9th Cir. 2002).

23 A showing of nothing more than a difference of medical
24 opinion as to the need to pursue one course of treatment over
25 another is insufficient, as a matter of law, to establish deliberate
26 indifference, see Toguchi v. Chung, 391 F.3d 1051, 1058, 1059-60
27 (9th Cir. 2004); Sanchez v. Vild, 891 F.2d 240, 242 (9th Cir. 1989);
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1 Mayfield v. Craven, 433 F.2d 873, 874 (9th Cir. 1970). In order to
2 prevail on a claim involving choices between alternative courses of
3 treatment, a plaintiff must show that the course of treatment the
4 doctor chose was medically unacceptable under the circumstances and
5 that he chose this course in conscious disregard of an excessive
6 risk to plaintiff's health. Toguchi, 391 F.3d at 1058; Jackson v.
7 McIntosh, 90 F.3d 330, 332 (9th Cir. 1996) (citing Farmer v.
8 Brennan, 511 U.S. 825, 837 (1994)).

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10 Regarding Plaintiff's Eighth Amendment claim premised on
11 denial of orthopedic shoes, the Court finds the claim fails at the
12 first step – the objective component – because Plaintiff has not
13 alleged facts or provided evidence to show a "serious medical need."
14 McGuckin, 974 F.2d at 1059. The facts show that Plaintiff sought
15 new orthopedic shoes in January 2011. Dkt. No. 22-2 Ex. B at 6. He
16 alleges the orthopedic shoes he had at the time were too long and
17 too narrow. SAC at 5. Plaintiff received satisfactory new
18 orthopedic shoes by January 2012. Ganahl Decl. Ex. D, Dkt. 39-7, at
19 14. Thus, Plaintiff's claim regarding the orthopedic shoes may be
20 characterized, at worst, as a delay in treatment.

21 Deliberate indifference may occur when prison officials
22 intentionally deny or delay medical care. Estelle, 429 U.S. at
23 104-05. Where an inmate alleges delay in receiving medical
24 treatment, however, the delay itself must have led to further injury
25 or harm. McGuckin, 974 F.2d at 1060; Shapley v. Nevada Bd. of State
26 Prison Comm'rs, 766 F.2d 404, 407 (9th Cir. 1985). Here, Plaintiff
27 cannot make that showing.

1 The undisputed facts show that, although the orthopedic
2 shoes that Plaintiff sought to replace in January 2011 were worn,
3 Dr. Bright determined they were not so worn that Plaintiff could not
4 utilize them or that they would prevent his access to programs and
5 services. Bright Decl. ¶ 16. Dr. Bright also determined that using
6 the shoes, or not having custom orthotics at all, would not cause
7 any further harm to Plaintiff. Id. at ¶¶ 16-17. Between January
8 28, 2011 and January 25, 2012 – from the date Dr. Bright recommended
9 an orthotics consultation to the date Plaintiff received new
10 orthopedic shoes – no medical requests submitted by Plaintiff, and
11 no program notes or reports drafted by any medical professional,
12 stated that Plaintiff's shoes had become unserviceable or
13 insufficient to accommodate his needs. Id. ¶¶ 29, 33-34.

14 In his opposition, Plaintiff argues that, in prescribing
15 the new orthopedic shoes, Dr. Bright impliedly admitted that
16 Plaintiff had a "serious medical need" for new orthopedic shoes.
17 Opp'n. at 15-17. Not so. While Defendants do not dispute that
18 orthopedic shoes are helpful in accommodating Plaintiff's physical
19 needs, the evidence shows that Dr. Bright prescribed new orthopedic
20 shoes in 2011 as a courtesy to Plaintiff because they would be
21 useful to him, but believed the shoes Plaintiff possessed at that
22 time "were not so worn or small that Loutzenhiser could not utilize
23 them, or that they would prevent his access to programs and services
24 or cause him further harm." Bright Decl. ¶ 16. The act does not
25 amount to an admission that, without the new shoes, Plaintiff would
26 suffer "further significant injury or the 'unnecessary and wanton
27 infliction of pain.'" See McGuckin, 974 F.2d at 1059-60.

1 Plaintiff also points to notes in his medical record
2 indicating that he suffers from chronic knee and foot pain. Opp'n.
3 at 21-23; Pl. Decl. Ex. A. None of these records state, however,
4 that Plaintiff's previous orthopedic shoes exacerbated his pain.
5 Thus, Plaintiff's evidence does not support a finding of any further
6 injury or harm from the delay.

7 Plaintiff also cannot satisfy the subjective component of
8 his claim because, even assuming Plaintiff had a serious medical
9 need for new orthopedic shoes, Plaintiff has not come forward with
10 any evidence to show Dr. Bright or Nurse Fox acted with deliberate
11 indifference to that need. The record belies any argument that
12 Defendants Bright and Fox purposefully ignored or failed to respond
13 to an alleged need for new orthopedic shoes. Rather, Defendants
14 sought to provide Plaintiff with new shoes.

15 Although Dr. Bright determined that the orthopedic shoes
16 Plaintiff possessed on January 28, 2011 were serviceable and
17 sufficient to meet his needs, out of courtesy to Plaintiff, Dr.
18 Bright recommended new orthopedic shoes. Bright Decl. ¶ 16; Ganahl
19 Decl. Ex. D, Dkt. 39-6, at 2-3. Dr. Bright completed the paperwork
20 necessary to assist Plaintiff in obtaining those shoes. Bright
21 Decl. ¶¶ 18, 23; Ganahl Decl. Ex. D, Dkt. 39-6, at 6, 10.

22 In doing so, Dr. Bright took all the steps he reasonably
23 could have taken in assisting Plaintiff to obtain new orthopedic
24 shoes. Dr. Bright did not have the final authority to actually
25 provide new orthopedic shoes to Plaintiff. Rather, he could - and
26 did - recommend that they be provided to Plaintiff, but the final
27 decision to provide orthopedic shoes to an inmate-patient must be
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1 made by an orthopedic specialist. Bright Decl. ¶ 8 & Ex. A.
2 Further, Dr. Bright was not aware of a delay in providing the
3 orthotics consultation and orthopedic shoes to Plaintiff. Id. at ¶
4 31. Similarly, Nurse Fox did not have authority over whether or
5 when Plaintiff received an orthotics consultation or orthopedic
6 shoes, nor did her assigned job duties at the time require her
7 involvement in any portion of Plaintiff's orthotics referral beyond
8 simply dropping off Dr. Bright's referral paperwork for further
9 processing, which she did. Fox Decl. ¶ 14.

10 In his opposition, Plaintiff claims Dr. Bright must have
11 known of the delay in providing him with orthopedic shoes because
12 specialty clinic staff are required to notify either the Chief
13 Medical Officer or the Chief Physician and Surgeon of routine
14 consultation delays of more than ninety days. Opp'n. at 19-21.
15 Plaintiff points to CDCR policy on outpatient services. See Dkt.
16 No. 39-16 ("The designated specialty clinic staff person shall
17 notify the CMO or CP&S and the PCP when routine consultations exceed
18 the ninety (90) day time frame.") (emphasis added). Plaintiff also
19 cites to an unauthenticated CTF Communication Log regarding a delay
20 in providing an orthotics consultation to another inmate named
21 Gonzalez. Opp'n. at 20; Dkt. No. 49, Ex. A. The document is
22 irrelevant. Not only does it pertain to an orthotics consultation
23 for a different inmate, but it is not addressed to, and contains no
24 mention of, Dr. Bright or the Chief Physician and Surgeon.⁴ In any

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26 ⁴ Plaintiff requests that the Court take judicial notice of
27 inmate Gonzalez's CTF Communication Log. See Dkt. No. 49. The
28 request is DENIED as such irrelevant and unrelated documents are not
items for which judicial notice may be properly taken. See Fed. R.

1 event, none of this is evidence that Dr. Bright was deliberately
2 indifferent to Plaintiff's alleged need for orthopedic shoes.

3 Accordingly, Defendants Dr. Bright and Nurse Fox are
4 entitled to summary judgment on Plaintiff's Eighth Amendment claim
5 premised on the delay in Plaintiff's receipt of new orthopedic
6 shoes.

7 2

8 Similarly, Plaintiff's Eighth Amendment claim premised on
9 the cancellation of his cane prescription fails at the first step –
10 the objective component. The cane was not a "serious medical need,"
11 the deprivation of which could result in further significant injury
12 or the "unnecessary and wanton infliction of pain." See McGuckin,
13 974 F.2d at 1059. Rather, as discussed above, Dr. Bright
14 determined, and subsequent medical personnel affirmed, that
15 Plaintiff did not need a cane.

16 The undisputed evidence shows that during the times
17 relevant to this case, Plaintiff was mobile and well-functioning.
18 For more than six months after Plaintiff's 2008 knee replacement
19 surgery, Plaintiff engaged in a rigorous, directed physical therapy
20 regimen. Fox Decl. ¶ 5. In addition, in 2010 Plaintiff requested
21 second-tier housing, which would require traversing a flight of
22 stairs to access services and activities, and his Primary Care
23 Physician, Dr. Friederichs, approved that request. Id. at ¶ 6;
24 Ganahl Decl. Ex. D, Dkt. 39-5, at 19.

25 Dr. Bright, in his January 28, 2011 evaluation of

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Evid. 201(b), 401.

1 Plaintiff, determined that Plaintiff had a normal gait and
2 sufficient mobility to walk significant distances that required
3 traversing stairs, hills, and uneven terrain without difficulty, and
4 to maintain unimpeded access to the programs, services, and
5 activities for which he is eligible. Bright Decl. ¶ 19. Indeed,
6 Plaintiff arrived to that evaluation at the ADA clinic without his
7 cane, which required him to travel roughly 350 yards, including
8 stairs. Id. Plaintiff's mobility on that date was brisk, with no
9 discernible limp or impairment. Id.; Fox Decl. ¶ 9; Ganahl Decl.
10 Ex. D, Dkt. 39-6, at 2-3.

11 Plaintiff's lack of need for a cane is affirmed by his
12 subsequent medical records. Between January 2011 and October 2013,
13 Plaintiff was seen by Dr. Friederichs roughly thirteen times.
14 Bright Decl. ¶¶ 29, 33; Ganahl Decl. Ex. D, Dkt. 39-6 at 12-13 &
15 Dkt. 39-7 at 2-3, 6-7, 10-11, 16-17, 19-20; Ganahl Decl. Ex. F, Dkt.
16 39-9 at 14-15, 19-20 & Dkt. 39-10 at 10-11, 19 & Dkt. 39-11 at 1,
17 11-12. Other than a brief mention of the cancellation of the cane
18 in the notes of the first appointment following that cancellation,
19 the only mention of Plaintiff's need for, or access to, a cane in
20 Dr. Friederichs' notes of those appointments was a statement that
21 Plaintiff was "managing fairly well" without the cane. Bright Decl.
22 ¶¶ 29, 33; Ganahl Decl. Ex. D, Dkt. 39-6, at 12 & Dkt. 39-7 at 10.
23 And none of the roughly five renewals of Plaintiff's Comprehensive
24 Accommodation Chrono during that period granted Plaintiff permission
25 to possess a cane. Ganahl Decl. Ex. D, Dkt. 39-6 at 15 & Dkt. 39-7
26 at 12; Ganahl Decl. Ex. F, Dkt. 39-9 at 8, 11 & Dkt. 39-11 at 4.

27 Plaintiff argues he did have a "serious medical need" for
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1 a cane based on an August 9, 2010 comprehensive accommodation chrono
2 completed by Dr. Friederichs, which noted Plaintiff's entitlement to
3 a cane at that time. Opp'n. at 30-31. But Dr. Friederichs'
4 determination that a cane was warranted in August 2010 does not
5 render incorrect Dr. Bright's determination, nearly six
6 months later, to the contrary. All medical professionals reviewing
7 Plaintiff's disability status and accommodations through October
8 2013 also determined Plaintiff did not need a cane. Dkt. 22 Ex. B,
9 at 16-18; Bright Decl. ¶ 26; Ganahl Decl. Ex. D, Dkt. 39-6, at 12-
10 13; Bright Decl. ¶ 27; Ganahl Decl. Ex. D, Dkt. 39-6, at 15.

11 Defendants have submitted a declaration from Dr. Bright
12 setting forth that, under CDCR guidelines, a cane should be provided
13 to an inmate-patient where the inmate-patient has a disability that
14 significantly restricts ambulating or causes severe chronic pain,
15 severe lower extremity edema (swelling), or acute injury. Bright
16 Decl. ¶¶ 3, 7 & Ex. A. Dr. Bright determined that Plaintiff did
17 not suffer from any of these conditions, and thus did not qualify
18 for use of a cane under CDCR guidelines. Id. at ¶ 20. Further, Dr.
19 Bright sets forth his expert opinion that the purpose of a cane is
20 to decrease the amount of pressure or weight on dysfunctional feet,
21 ankles, knees, or hips during ambulation. Id. But Plaintiff's
22 feet, ankles, knees, and hips function normally, and canes are of no
23 use in accommodating his leg-length discrepancy. Id. To the
24 contrary, in Dr. Bright's professional opinion, not having a cane
25 may have helped Plaintiff because walking without a cane provides
26 better anatomic gait than walking with a cane, and use of a cane –
27 particularly when it is not necessary – can cause problems or pain
28

1 in the patient's hands, wrists, shoulders, and back. Bright Decl.
2 ¶ 35. Plaintiff has failed to come forward with specific facts to
3 support any findings to the contrary.

4 Finally, even assuming a serious medical need for a cane,
5 Plaintiff has not come forward with any evidence to show Dr. Bright
6 or Nurse Fox acted with deliberate indifference to that need – the
7 subjective component. Here, neither Dr. Bright or Nurse Fox "knew
8 of" Plaintiff's need for a cane because, in their professional
9 judgments, that "need" did not exist. Bright Decl. ¶ 20; Fox Decl.
10 ¶ 18.

11 Plaintiff argues that Dr. Bright had no authority to issue
12 or remove his cane because Dr. Friederichs, and not Dr. Bright, was
13 the physician who had originally prescribed the cane for Plaintiff.
14 Opp'n. at 32-33. Plaintiff's support for this position is Dr.
15 Bright's interrogatory response stating that a "prescribing
16 physician" determines whether an assistive device is medically
17 necessary for the inmate-patient. Opp'n. at 32; Pl. Decl. at A-21.
18 Plaintiff's argument assumes that "prescribing physician" refers
19 only to a physician who first prescribed an assistive device to an
20 inmate-patient. But Plaintiff provides no support for this
21 interpretation. For an inmate to possess a health care appliance
22 the inmate must indeed possess a clinical prescription for the
23 appliance. Fox Decl. ¶ 12 & Ex. A. Only CDCR facility-employed
24 health care staff and contractors may issue diagnoses or
25 prescriptions for inmates, and they may only do so "within the scope
26 of their licensure[.]" Cal. Code Regs., tit. 15, § 3354.
27 Consequently, under department regulations, a "prescribing
28

1 physician" is not necessarily the first person who wrote a
2 prescription, but rather any CDCR physician who, within the
3 authority of his or her licensure, may prescribe use of medications
4 or assistive devices. As Chief Physician and Surgeon and a primary
5 care physician, and as head of the ADA clinic at CTF and Salinas
6 Valley, Dr. Bright has the authority to prescribe ADA
7 accommodations, such as a cane, and is thus a "prescribing
8 physician." See Bright Decl. ¶ 2. Dr. Bright also had the
9 authority to cancel the cane prescription. See id.; see also Fox
10 Decl. ¶ 12 ("When an inmate's use of the medical appliance has been
11 discontinued, the inmate no longer has 'permission' or 'a
12 prescription' to possess it.")

13 Further, even if Nurse Fox believed that Plaintiff had a
14 serious medical need for a cane, she did not have the authority to
15 provide one to him. Nurse Fox's role in this case was limited to
16 carrying out physicians' orders. Fox Decl. ¶ 11. Section 54030.11
17 of the CDCR Operations Manual requires that, for an inmate to
18 possess a health care appliance, such as a cane, the inmate must
19 have a clinical prescription for the appliance. Fox Decl. ¶ 12 &
20 Ex. A. But Nurse Fox does not have prescribing privileges. Fox
21 Decl. ¶ 13. Plaintiff argues that, because Nurse Fox was likely
22 aware that Dr. Bright was not the "prescribing physician" who
23 originally prescribed the cane to him, she should have also known
24 that Dr. Bright had no right to cancel that prescription, and thus
25 she was under no duty to assist him in carrying out the cancellation
26 of that prescription. Opp'n. at 37-40. As explained above,
27 however, Dr. Bright had the authority to cancel the prescription.

1 participation in or denied the benefits of the public entity's
2 services, programs or activities, or was otherwise discriminated
3 against by the public entity; and (4) such exclusion, denial of
4 benefits, or discrimination was by reason of his disability.
5 Thompson v. Davis, 295 F.3d 890, 895 (9th Cir. 2002).⁵ Money
6 damages are not available for a violation of the ADA absent a
7 showing of discriminatory intent by the defendant. Duvall v. County
8 of Kitsap, 260 F.3d 1124, 1138 (9th Cir. 2001).

9 Plaintiff argues that he has a qualifying disability
10 insofar as his leg discrepancy makes it painful for him to walk and
11 bend. Opp'n. at 44-46. Plaintiff further argues that because it is
12 painful for him to walk to the CTF recreational yard and the CTF
13 chapel, he has been denied "meaningful access" to these services.
14 Id. at. 48-51; Pl. Decl. at 1-2. Specifically, because he does not
15 have a cane to absorb the pounding from the uneven terrain in CTF's
16 hallways and on the recreational yard, it is painful for him to walk
17 to the yard and he is unable to exercise or participate in any of
18 the recreational programs once he arrives there. Id. Similarly,
19 without a cane, it is painful for him to walk the uneven terrain to
20 the chapel, and he is limited in getting up and down in his seat
21 when it is time for prayer. Id.

22 Defendants, in their summary judgment motion, offer no
23

24 ⁵ Although Title II of the ADA does not expressly address the
25 provision of reasonable accommodations, one of the implementing
26 regulations does so, as follows: "A public entity shall make
27 reasonable modifications in policies, practices, or procedures when
28 the modifications are necessary to avoid discrimination on the basis
of disability, unless the public entity can demonstrate that making
the modifications would fundamentally alter the nature of the service,
program, or activity." 28 C.F.R. § 35.130(b)(7) (2011).

1 CDCR has Eleventh Amendment immunity. The Court agrees. The
2 Eleventh Amendment bars from the federal courts suits against a
3 state by its own citizens, citizens of another state, or citizens or
4 subjects of any foreign state. See Alabama v. Pugh, 438 U.S. 781,
5 782 (1978). Unless a state has waived its Eleventh Amendment
6 immunity or Congress has overridden it, a state cannot be sued
7 regardless of the relief sought. Kentucky v. Graham, 473 U.S. 159,
8 167 n.14 (1985).

9 This Eleventh Amendment immunity also extends to suits
10 against a state agency, see, e.g., Brown v. Cal. Dep't of Corrs.,
11 554 F.3d 747, 752 (9th Cir. 2009) (California Department of
12 Corrections and California Board of Prison Terms entitled to 11th
13 Amendment immunity), and, in some instances, against state
14 officials, Demery v. Kupperman, 735 F.2d 1139, 1145-46 (9th Cir.
15 1984). A suit will be barred under the Eleventh Amendment if the
16 judgment sought would expend itself on the public treasury or
17 domain, or interfere with the public administration, or if the
18 effect of the judgment would be to restrain the state from acting,
19 or to compel it to act. See id. (citing Dugan v. Rank, 372 U.S.
20 609, 620 (1963)). For example, the Eleventh Amendment provides
21 absolute immunity to state officials for suits in federal court
22 alleging pendent claims for breach of state law. See Pennhurst
23 State Sch. & Hosp. v. Halderman, 465 U.S. 89, 106 (1984). The CDCR
24 is therefore immune from the state-law breach of contract claims
25 asserted herein.

26 Defendants argue that Plaintiff's state-law breach of
27 contract claim should also be dismissed as to individual Defendants
28

Dr. Bright and Nurse Fox because Dr. Bright and Nurse Fox were not parties to the settlement agreement in Loutzenhiser v. Traquina, case number S-04-1937 LKK PAN. The Court agrees. Dr. Bright and Nurse Fox are not proper defendants on the breach of contract claim because they were not parties to the settlement agreement and therefore have no contractual relationship with Plaintiff. See Defs.' RJN Supp. Mot. Summ. J., Ex. C. In an apparent attempt to avoid this bar, Plaintiff argues in his opposition that the breach of contract claim is brought against Dr. Bright and Nurse Fox in their official capacities only. Opp'n. at 6-8. For the reasons discussed above, Dr. Bright and Nurse Fox are protected from any such official capacity claim under Eleventh Amendment immunity. See Pennhurst, 465 U.S. at 106 (Eleventh Amendment prohibits district court from ordering state officials to conform their conduct to state law).

Accordingly, Defendants' motion to dismiss Plaintiff's breach of contract claim will be GRANTED.⁷

V

For the foregoing reasons, the Court orders as follows:

1. Defendants' motion to dismiss is hereby GRANTED IN PART and DENIED IN PART as follows:

a. Defendant's motion to dismiss Plaintiff's state-

⁷ Plaintiff may seek to enforce the settlement agreement by filing an action in state court. Plaintiff may also be able file a motion to enforce in Eastern District case number S-04-1937 LKK PAN. Plaintiff is advised, however, that jurisdiction exists only if the Eastern District court expressly retained jurisdiction to enforce the agreement or if the terms of the agreement were incorporated into the dismissal order. See Hagestad v. Tragesser, 49 F. 3d 1430, 1433 (9th Cir. 1995).

1 law breach of contract claim is GRANTED as to all Defendants.

2 b. Defendants' motion to dismiss Plaintiff's claim
3 for punitive damages is DENIED as moot because Plaintiff only seeks
4 injunctive relief on his remaining ADA claim.

5 2. Defendants' motion for summary judgment is hereby
6 GRANTED IN PART and DENIED IN PART as follows:

7 a. Summary judgment is GRANTED in favor of
8 Defendants Dr. Bright and Nurse Fox on Plaintiff's Eighth Amendment
9 claim.

10 b. Summary judgment is DENIED with respect to
11 Plaintiff's ADA claim against CDCR and CTF-Medical.

12 c. Summary judgment is DENIED as to Plaintiff's
13 claim for injunctive relief because the ADA provides for injunctive
14 relief. See 42 U.S.C. § 12133 (1990).

15 3. The case is hereby REFERRED to Magistrate Judge Nandor
16 Vadas for settlement proceedings on Plaintiff's ADA claim seeking
17 injunctive relief against CDCR and CTF-Medical. Such proceedings
18 shall take place within 120 days of the date this order is filed, or
19 as soon thereafter as Magistrate Judge Vadas' calendar will permit.
20 Magistrate Judge Vadas shall coordinate a place, time, and date for
21 one or more settlement conferences with all interested parties
22 and/or their representatives and, within fifteen days of the
23 conclusion of all settlement proceedings, shall file with the Court
24 a report thereon. The Clerk is directed to serve Magistrate Judge
25 Vadas with a copy of this Order.

26 4. The Clerk is further directed to terminate Dr. Bright
27 and Nurse Fox as defendants on the court docket.
28

27